

AUDIT AND RISK COMMITTEE

11 July 2017

STRATEGIC RISK REGISTER

Report of the Director for Resources

Strategic Aim:	All	
Exempt Information	No	
Cabinet Member(s) Responsible:	Councillor Oliver Hemsley - Deputy Leader and Portfolio Holder for Growth, Trading Services and Resources (except Finance)	
Contact Officer(s):	Debbie Mogg, Director for Resources	01572 758358 dmogg@rutland.gov.uk
Ward Councillors	Not Applicable	

DECISION RECOMMENDATIONS

That the Committee notes the contents of the Risk Register and the actions underway to address risks.

1 PURPOSE OF THE REPORT

- 1.1 To present the Strategic Risk Register to the Committee and provide assurance that strategic risks are being adequately managed.

2 STRATEGIC RISK REGISTER

- 2.1 Attached at **Appendix A** is the Council's Strategic Risk Register as at June 2017.

- 2.2 All the risks in the Strategic Risk Register have been reviewed. All outstanding actions have had a target completion date added, and a number of new actions have been added:

- 2.3 Risk 2: There is a risk that the Council cannot meet its statutory requirements to produce a robust and balanced budget now or in the medium term.

Action added to monitor developments in respect of changes to Local Government funding following the Election. This is an ongoing action.

- 2.4 Risk 3: Failure to deliver key services should a significant business interruption occur, including supplier failure.

Action added for update of business impact assessments and recovery plans following emergency planning exercise conducted in June. To be completed by 25th August 2017.

- 2.5 Risk 4: Failure to Safeguard (Children) and a child is significantly abused, badly hurt or dies.

Action added for Ofsted Next Steps action plan, 17 recommendations within the action plan being put in place, with all due to be completed by 31st March 2018.

- 2.6 Risk 6: Long term failure to achieve educational attainment:

Actions added to cover ongoing development of new Education Framework 2017-2020 and Classification and Entitlement documents that are to be reviewed annually and set out system for monitoring and review of schools. Both currently going through the approval process and expected to be completed by 30th September 2017

- 2.7 Risk 8: Failure to secure delivery of change required within Health and Social Care

Actions added and target completion dates set; Implementation of commissioning for homecare, delivery of the 2017/18 BCF plan and undertaking a feasibility study for Oakham Hub - One Public Estate.

- 2.8 Risk 10: Failure to protect the health and safety of employees and members of the public.

New action added covering work to setup a shared service with Peterborough to cover Health and Safety. To be completed by 28th July 2017.

- 2.9 Risk 11: Failure of corporate governance (including data governance) with service, financial and reputational consequences.

Action added for development of a project plan required for the implementation of the General Data Protection Regulation (GDPR). To be completed by 31st August 2017.

- 2.10 There is still some uncertainty regarding the impact and effect of the UK's decision to exit the European Union (commonly referred to as Brexit). Once more is understood about the potential implications for Local Government the intention is to include a risk specifically addressing this issue but at the current time not enough information is available for this to be adequately addressed.

- 2.11 The summary of the risks plotted on the risk matrix is shown at **Appendix B**. This highlights how the risks are spread across the matrix. No risk scores have been amended since the previous update.

3 CONSULTATION

- 3.1 No consultation is necessary.

4 FINANCIAL IMPLICATIONS

- 4.1 There are no direct financial implications arising from this report but the Committee

should note the failure to manage risks effectively could have a financial impact on the Council.

5 LEGAL AND GOVERNANCE CONSIDERATIONS

5.1 As set out in the terms of reference within the constitution, this committee has responsibility to provide assurance of the adequacy of the risk management framework and control environment.

5.2 There are no legal implications arising from this report.

6 EQUALITY IMPACT ASSESSMENT

6.1 An Equality Impact Assessment (EqIA) has not been completed because there are no service, policy or organisational changes being proposed.

7 COMMUNITY SAFETY IMPLICATIONS

7.1 There are no community safety implications.

8 HEALTH AND WELLBEING IMPLICATIONS

8.1 There are no health and wellbeing implications.

9 CONCLUSION AND SUMMARY OF REASONS FOR THE RECOMMENDATIONS

9.1 The Committee's role is to monitor the effective development and operation of risk management and corporate governance. The Risk Register sets out the strategic risks facing the Council and demonstrates how they are being managed.

10 BACKGROUND PAPERS

10.1 There are no additional background papers.

11 APPENDICES

11.1 Appendix A: Strategic Risk Register

11.2 Appendix B: Risk Matrix

A Large Print or Braille Version of this Report is available upon request – Contact 01572 722577.